



## Gifts of Security Transfer Instructions

Thank you for your interest in supporting Nutrition Science Initiative (NuSI) in the form of a Security Transfer. Please note that you must initiate all security donations with your broker and please consult your broker to ensure that the stock is eligible for electronic delivery over the Deposit Trust Company (DTC) system (this is the fastest, most secure and cost effective method of donating securities).

Acknowledgment will be made in compliance with IRS regulation. Please consult with your tax advisory before making a charitable donation to any charity.

Please complete (or request that your broker complete on your behalf) the information below to ensure that your gift is processed accurately. Once you've made a transfer, please contact Lacey Stenson by phone at (858) 914-5404 or by email at [lacey@nuis.org](mailto:lacey@nuis.org) to inform NuSI of the proposed donation and to ensure that you receive a prompt receipt of your gift for your tax records.

### Donor and securities information:

\_\_\_\_\_  
Name & Type of securities                      \_\_\_\_\_      \_\_\_\_\_      \_\_\_\_/\_\_\_\_/\_\_\_\_  
Security symbol      Number of shares      Transfer date

\_\_\_\_\_  
Donor name (Individual, Corporation, Foundation)      \_\_\_\_\_  
Broker name

\_\_\_\_\_  
Donor Address      \_\_\_\_\_  
Broker Company / City / State

\_\_\_\_\_  
Donor City / State / Zip      \_\_\_\_\_  
Broker telephone number

\_\_\_\_\_  
Donor telephone and email address (to be used only if a question arises in the donation process)

## Specific processing procedures:

Receiving firm name and address: Charles SCHWAB & Co., Inc.  
Attention: Joe Buckingham  
12481 High Bluff Drive, Suite 100  
San Diego, CA 92130  
(858) 523-2450  
[joe.buckingham@schwab.com](mailto:joe.buckingham@schwab.com)

DTC Eligible security: Deliver to DTC Clearing Number #0164  
Account number 4173-6002

Please reference: "Donor Name" to ensure your donation is properly credited.

## Donor signature:

[NOTE] All account owners must sign this request (e.g., an individual account would require one signature, a Joint Tenants in Common account would require two signatures).

The donor imposes no restrictions on NuSI's use of such gifted funds and acknowledges that NuSI provided no goods or services in return for the donation. NuSI's EIN: 45-4676706.

\_\_\_\_\_  
Donor signature

\_\_\_\_/\_\_\_\_/\_\_\_\_  
Date signed

\_\_\_\_\_  
Donor signature

\_\_\_\_/\_\_\_\_/\_\_\_\_  
Date signed

For Gifts of Stock not held in a brokerage account or for gifts of Restricted Stock, please contact Charles SCHWAB as follows:

Charles SCHWAB & Co., Inc.  
Attention: Joe Buckingham  
12481 High Bluff Drive, Suite 100  
San Diego, CA 92130  
(858) 523-2450  
[joe.buckingham@schwab.com](mailto:joe.buckingham@schwab.com)